

# Approximation Algorithms

Lecture 4

## Last Time

- ❑ Dual Fitting
- ❑ Randomized Rounding

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- ❑ Dual Fitting
- ❑ Randomized Rounding

## Today

Greedy algorithms for:

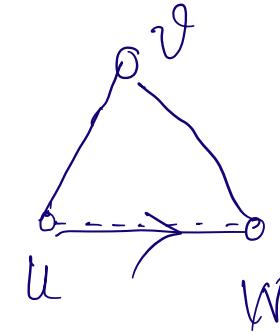
- ❑ the  $k$ -Center problem
- ❑ the metric TSP problem



Christofides-Serdyukov approximation algorithm for metric TSP

## $k$ -Center problem

- Given a complete undirected graph on  $n$  vertices with lengths on edges
- Length of edge  $\{u, v\}$  is  $\ell(u, v)$  for  $u \neq v$
- Lengths satisfy triangle inequality:
  - For  $u, v, w \in [n]$ , it holds that  $\ell(u, v) + \ell(v, w) \geq \ell(u, w)$
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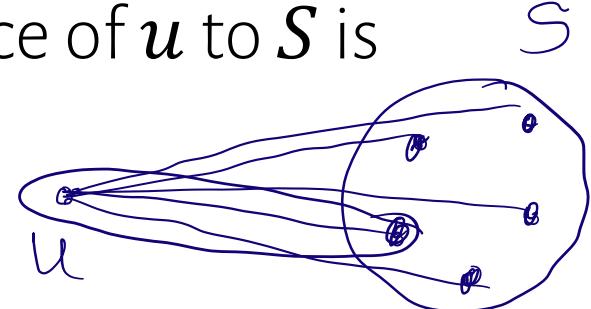


## $k$ -Center problem

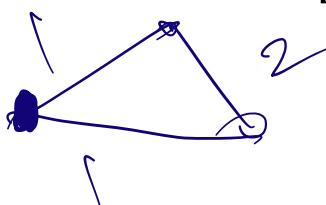
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- For a vertex  $u$  and set  $S \subseteq [n]$  of vertices, the distance of  $u$  to  $S$  is

$$\ell(u, S) = \min_{v \in S} \ell(u, v)$$

*similarity measure  
by w vertices  
objects*



- Goal: Output a set  $S$  of  $k$  “centers” such that  $\max_{u \in [n]} \ell(u, S)$  is minimized



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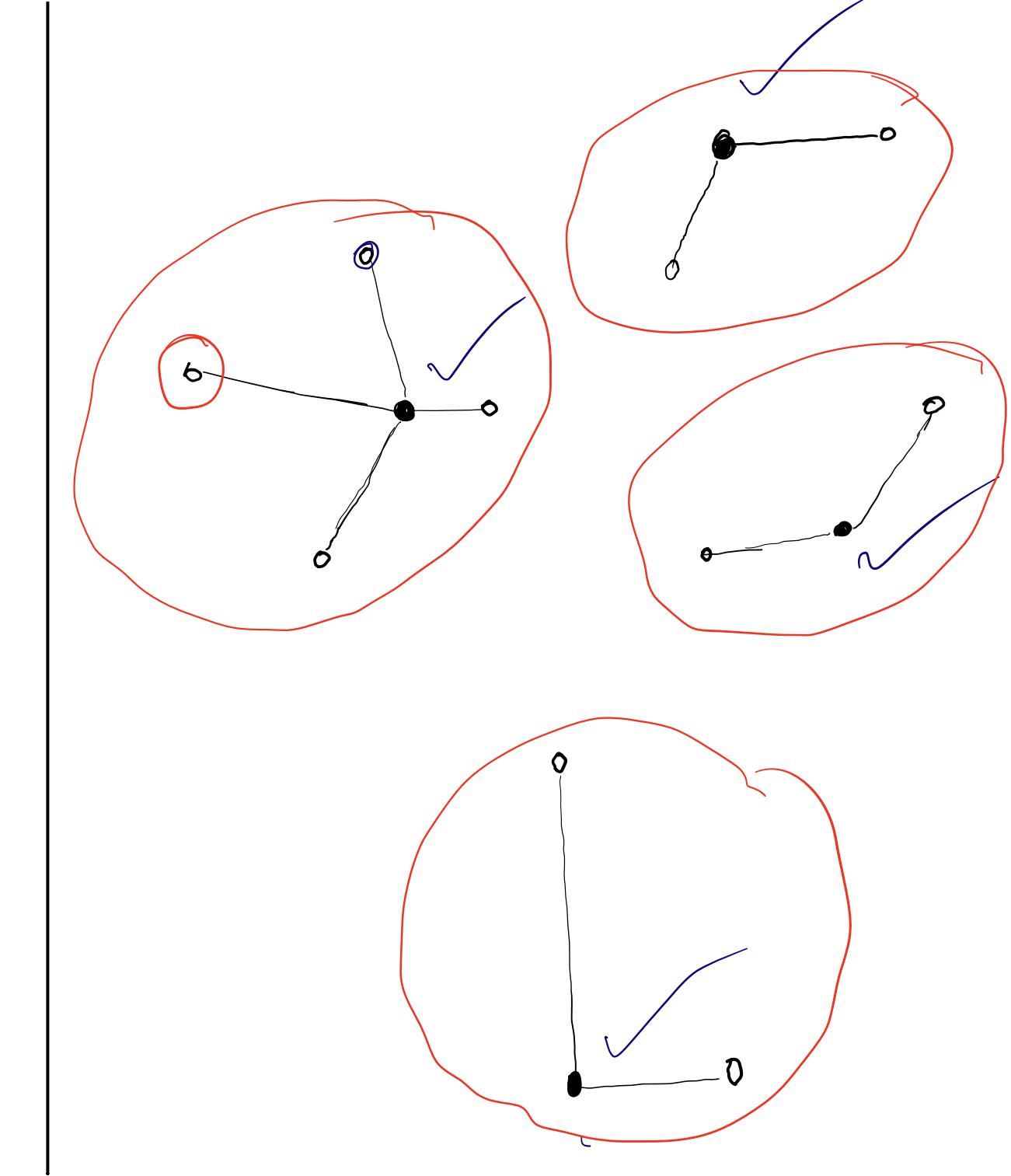
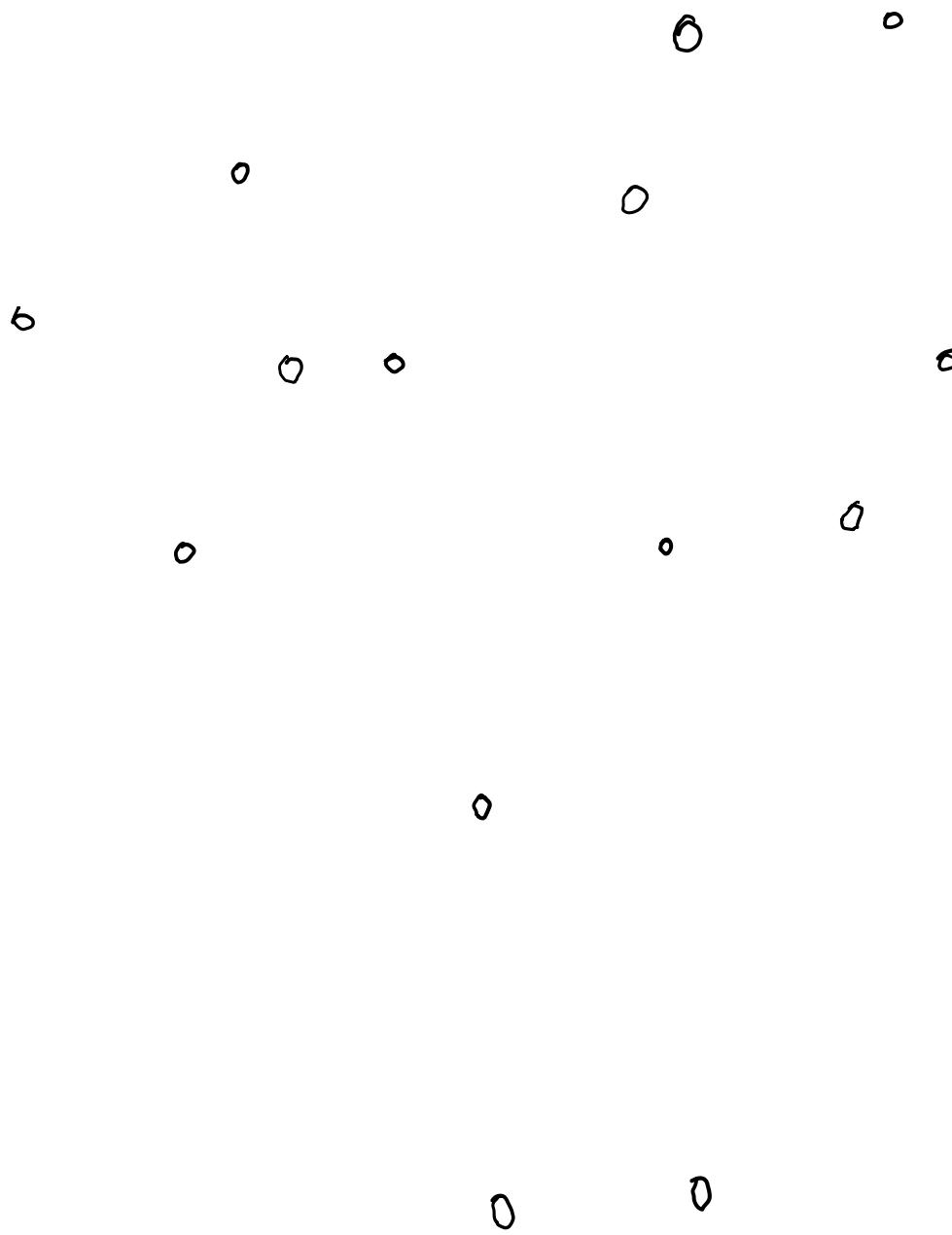
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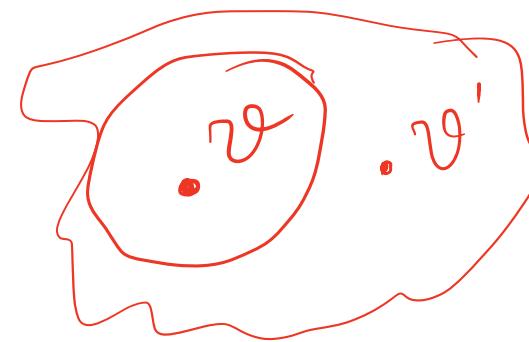
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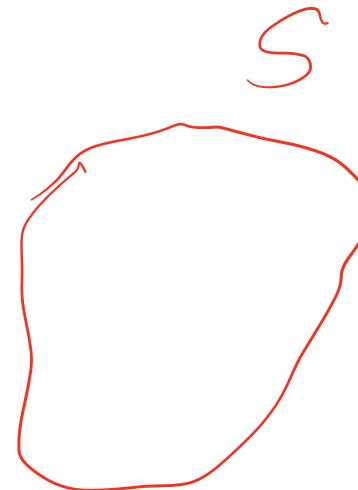


## Algorithm



- Pick an arbitrary vertex  $v \in [n]$  and  $S \leftarrow \{v\}$
- while  $|S| \leq k$ :
  - Pick the vertex  $w \in [n]$  that maximizes  $\ell(w, S)$
  - $S \leftarrow S \cup \{w\}$
- Output  $S$

•  $w$



## Algorithm

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Suppose the optimal radius is  $\gamma^*$

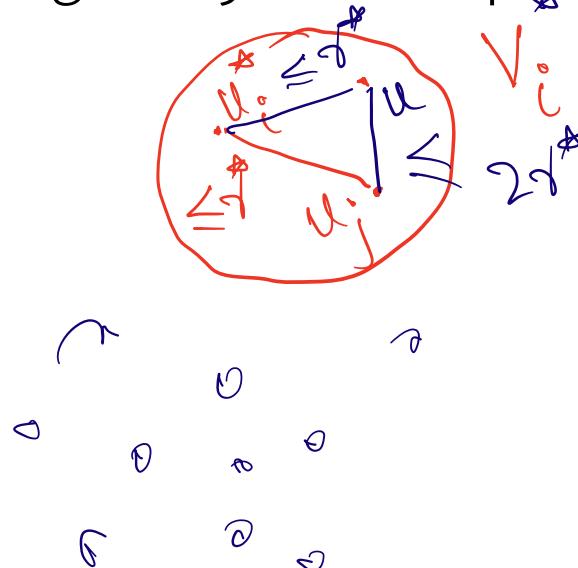
Our solution

has radius  $\leq 2\gamma^*$

This greedy algorithm gives a 2-approximation for the  $k$ -center problem.

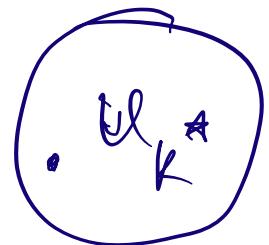
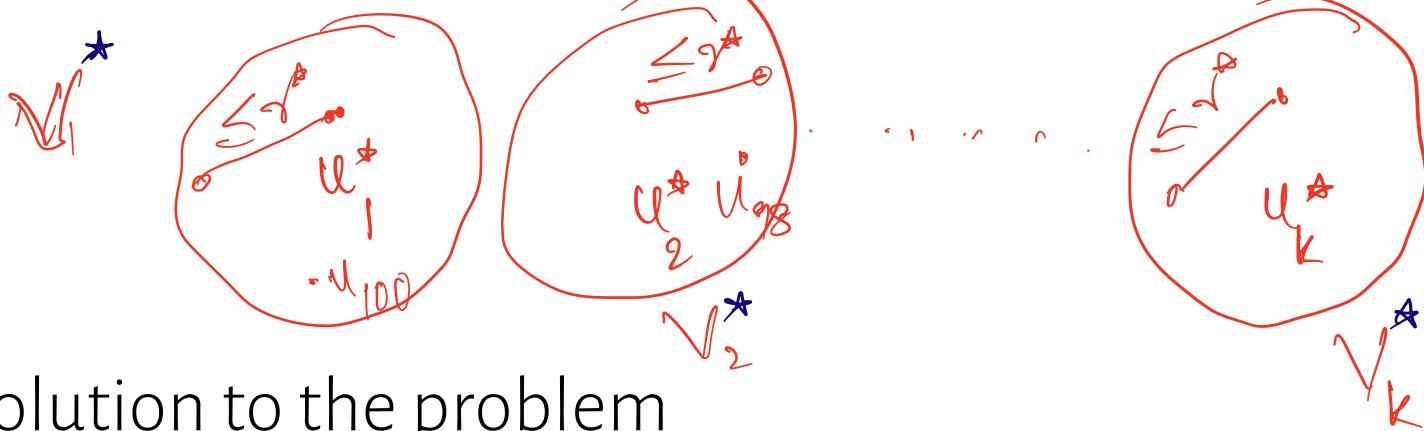
## Analysis

- Let  $u_1^*, \dots, u_k^*$  be an optimal solution to the problem
- These centers partition  $[n]$  into  $k$  clusters  $V_1^*, \dots, V_k^*$
- Let optimal radius be  $r^*$
- Let  $u_1, \dots, u_k$  be the centers picked by the greedy
- If there is one greedy-center per optimal cluster, then
- Otherwise



distance greedy

$\leq 2r$



Consider the first greedy center  $u_j$  s.t.  $u_j \in V_i^*$  for  $j < j'$

$$u_j \in V_i^*$$

and

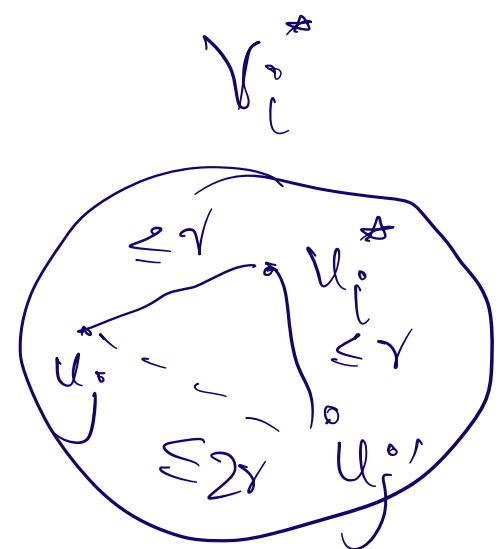
$$u_{j'} \in V_i^*$$

greedy-centers

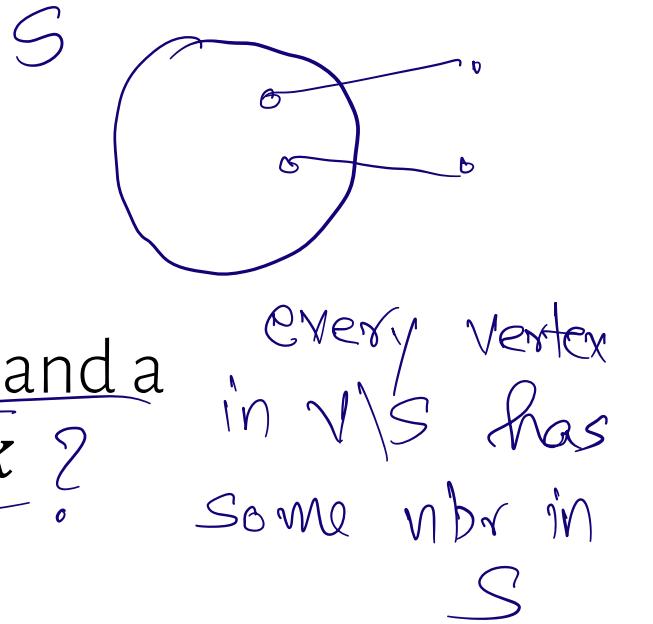
$$l(u_j, u_{j'}) \leq 2\gamma^*$$

Now,  $u_j$  was the point that was furthest from all  $v \in [n]$  greedy-centers so far

$$l(v, S) \leq l(u_j, S) \leq l(u_j, u_{j'})$$



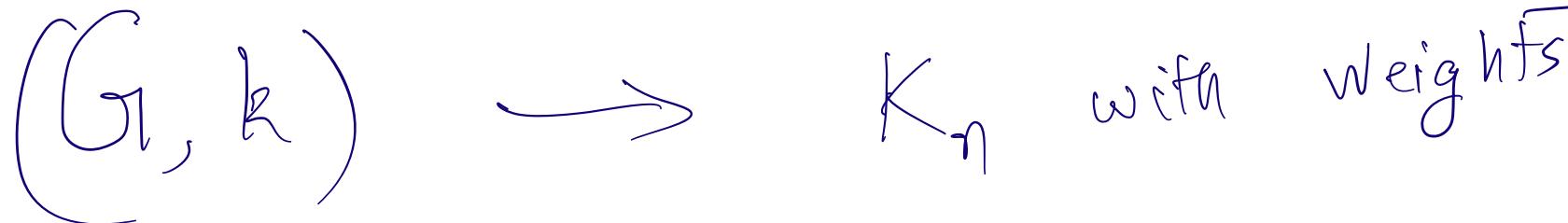
- It is NP-hard to approximate within a factor better than 2



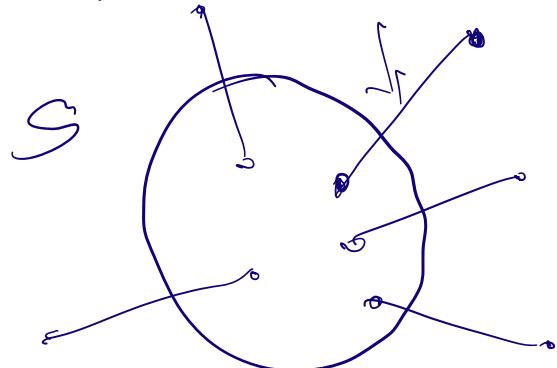
- Reduction from dominating set problem: Given a graph  $G$  and a parameter  $k$ , is there a dominating set in  $G$  of size at most  $k$ ?

- Construct a complete graph with weight 1 for edges and weight 2 for nonedges in  $G$

- If we can approximate  $k$ -center in this graph to a factor of  $\rho < 2$ , then we can solve the dominating set problem exactly.



\* Suppose  $G$  has a dom. set of size  $\leq k$



this same set  
(plus some  
spurious  
vertices)

is a soln- to  
k-center problem  
instance with  
radius 1.

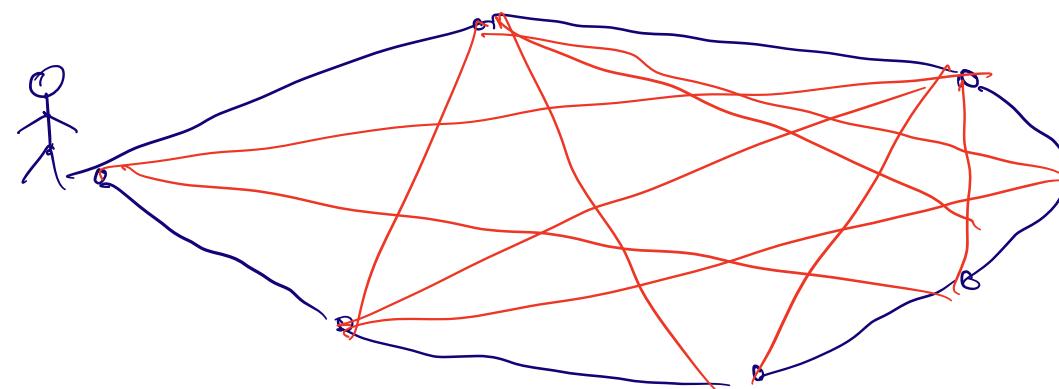
\* Suppose  $G$  does not have a dom-set of size  $\leq k$ , then the opt. radius is 2 for k-center in a <sup>insn</sup> <sub>a</sub> instance

## Metric Travelling Salesman Problem (TSP)

- Given a complete undirected graph on  $n$  vertices with costs on edges
- Cost of edge  $\{u, v\}$  is  $\ell(u, v)$  for  $u \neq v$
- Costs satisfy triangle inequality:
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- **Goal:** Find a tour of minimum total cost that visits every vertex exactly once and returns to the starting vertex



- What is the state of the art?
- NP hard to approximate within a factor of  $\frac{123}{122} = 1.008\dots$

[Karpinski, Lampis, Schmied '15]

- $\frac{3}{2}$  → 1.5 –  $\epsilon$  factor approximation algorithm for some  $\epsilon \lessdot 10^{-36}$

[Karlin, Klein, Oveis Gharan '21]



- What is the state of the art?
- NP hard to approximate within a factor of  $\frac{123}{122}$

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- $\frac{3}{2} - \epsilon$  factor approximation algorithm for some  $\epsilon > 10^{-36}$

[Karlin, Klein, Oveis Gharan '21]

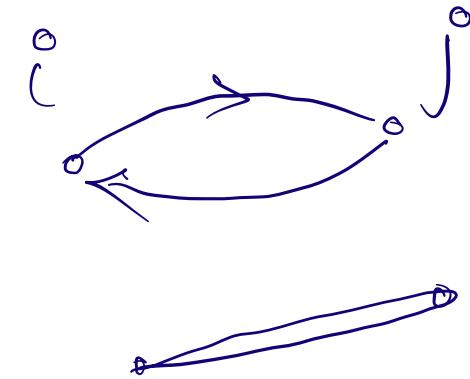
- Today:  $\frac{3}{2}$  approximation algorithm by

[Christofides '76] & [Serdyukov '78]

↓  
US

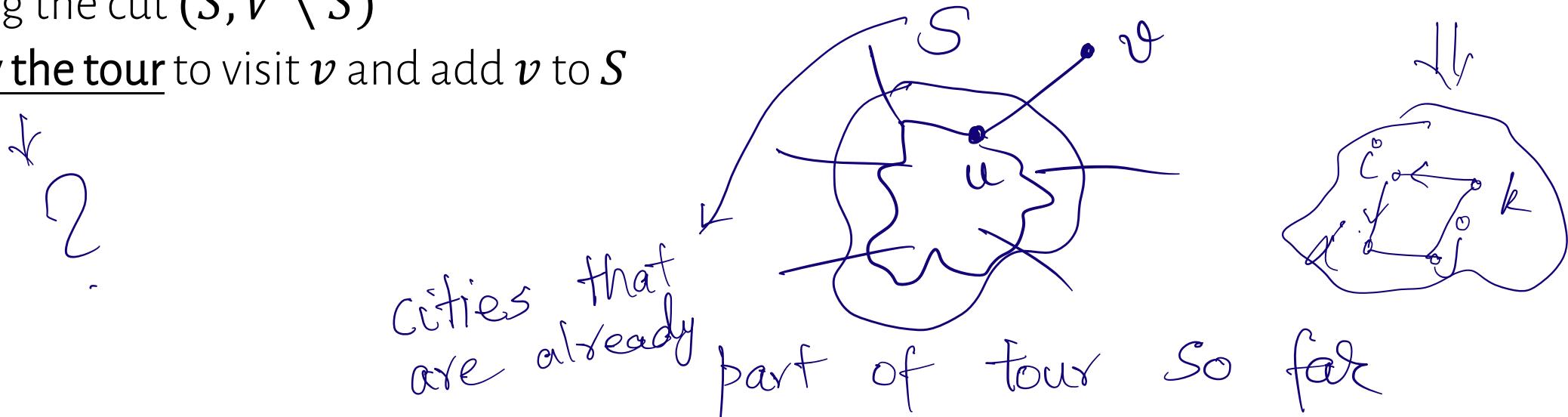
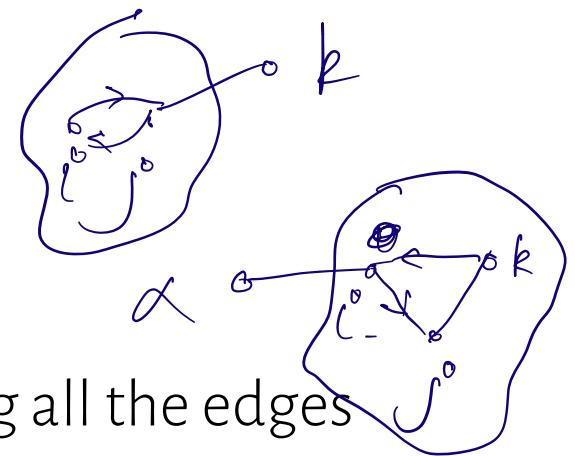
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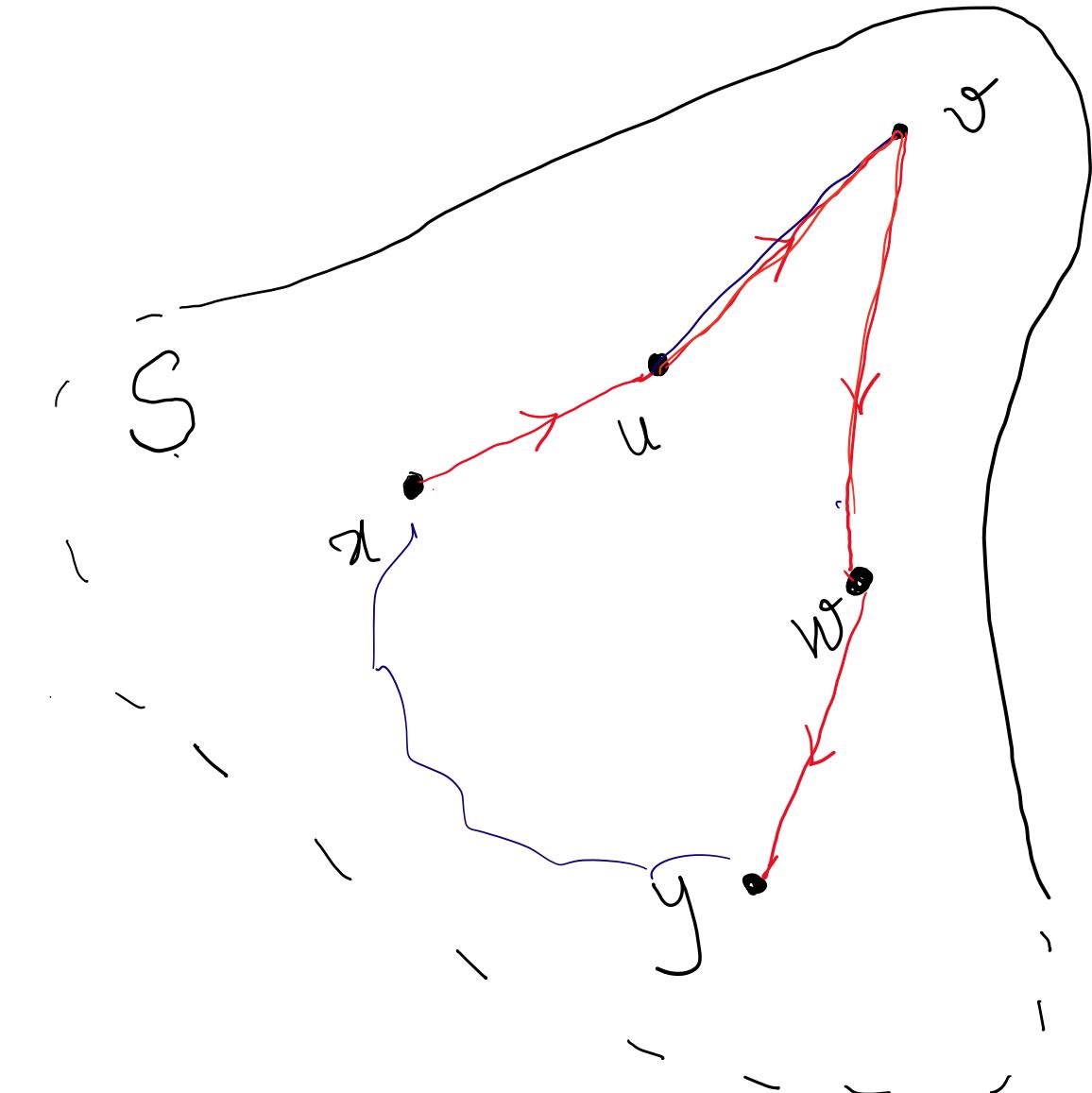
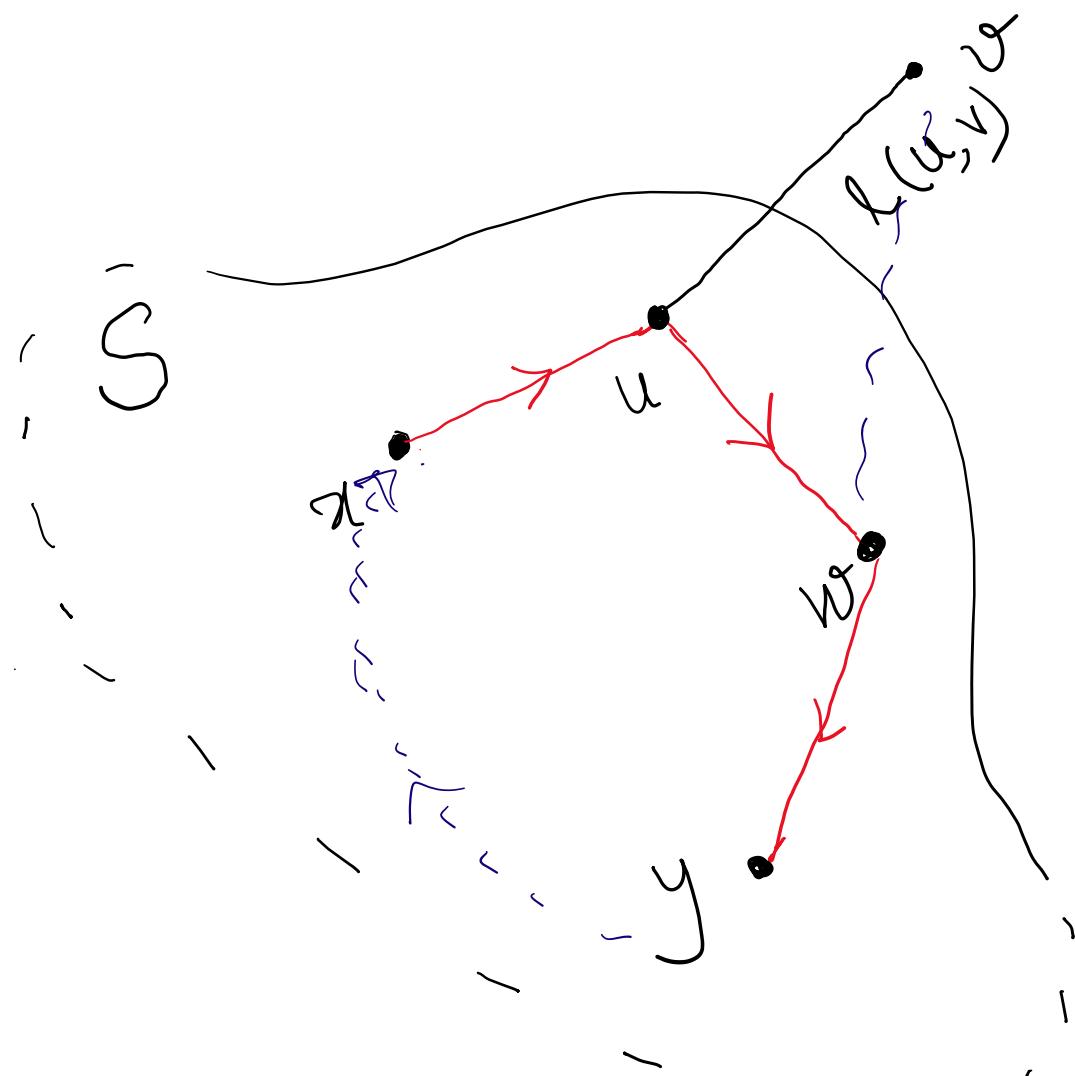
- First result: 2-factor approximation for metric TSP



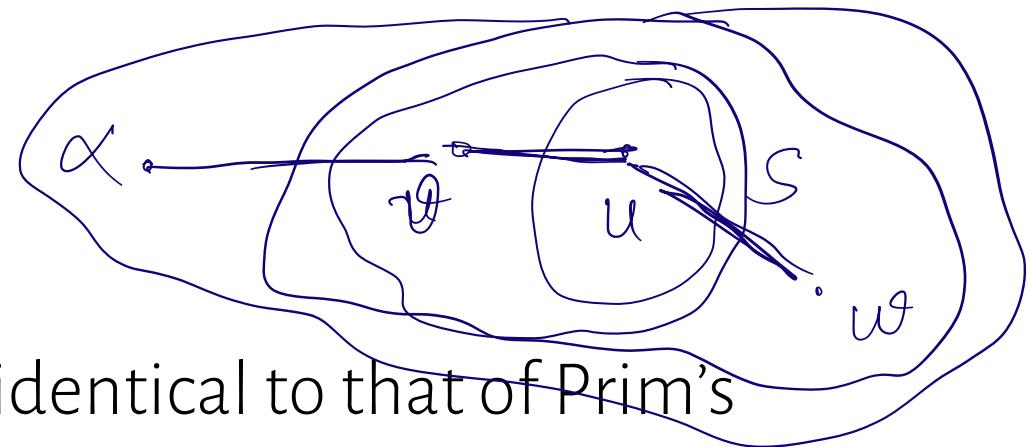
## Greedy algorithm

- Start with a least cost edge  $\ell(i, j)$ , set  $S \leftarrow \{i, j\}$  and let the tour be  $i \rightarrow j \rightarrow i$
- while  $|S| < n$ 
  - Let  $u \in S, v \notin S$  be such that  $\ell(u, v)$  is the least cost edge among all the edges crossing the cut  $(S, V \setminus S)$
  - Modify the tour to visit  $v$  and add  $v$  to  $S$

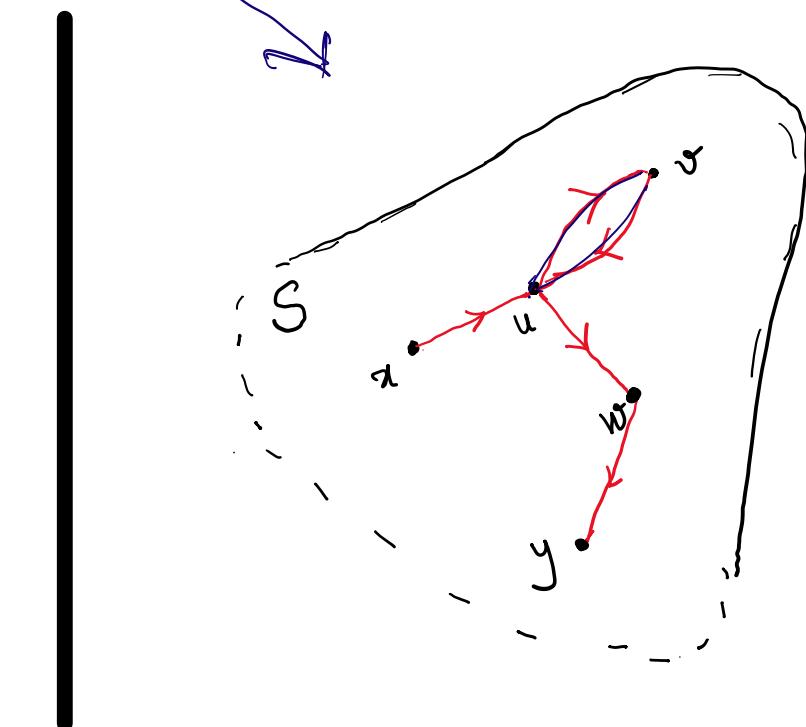
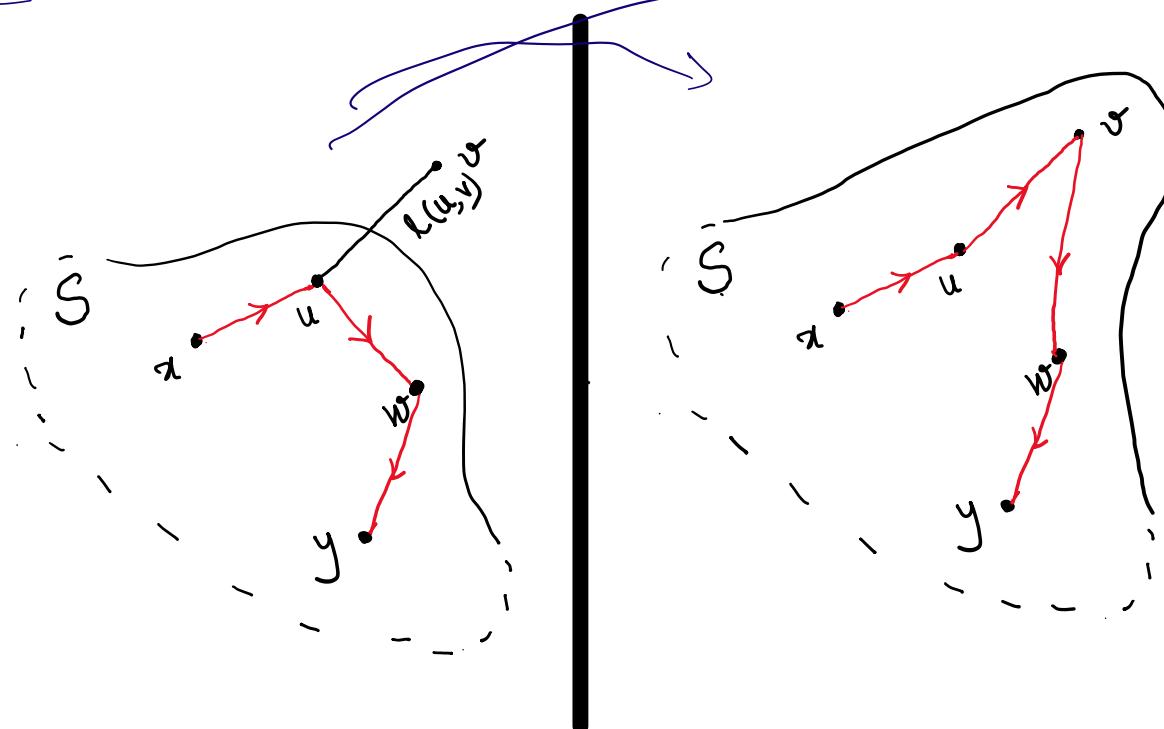


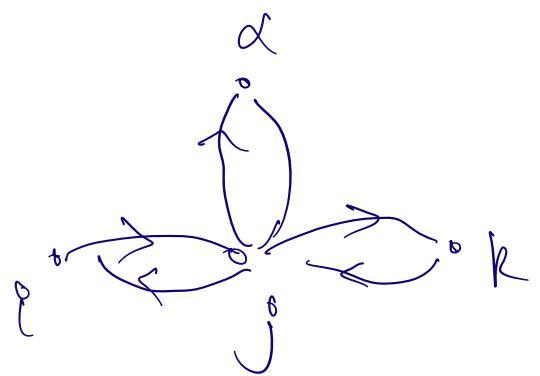
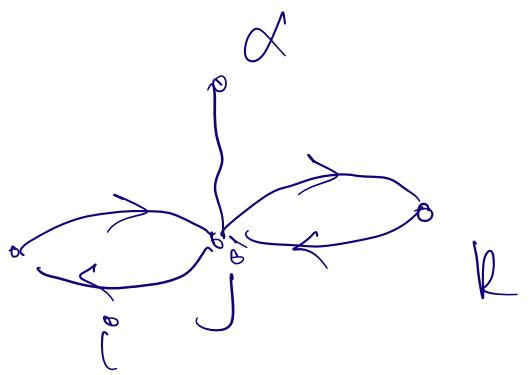
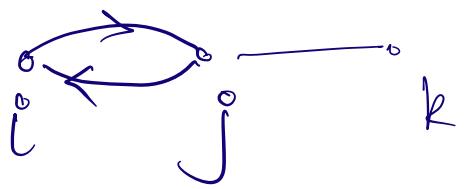


Why is this a 2-approximation algorithm?

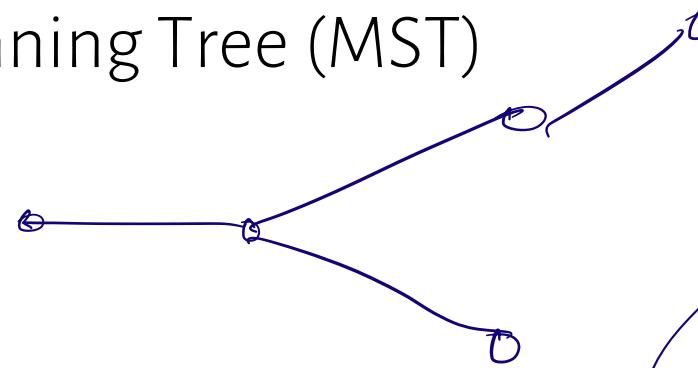


- Selecting the cut-edges in each iteration is identical to that of Prim's algorithm
- Consider a different walk whose total cost is an upper bound on the cost of the tour output by the algorithm

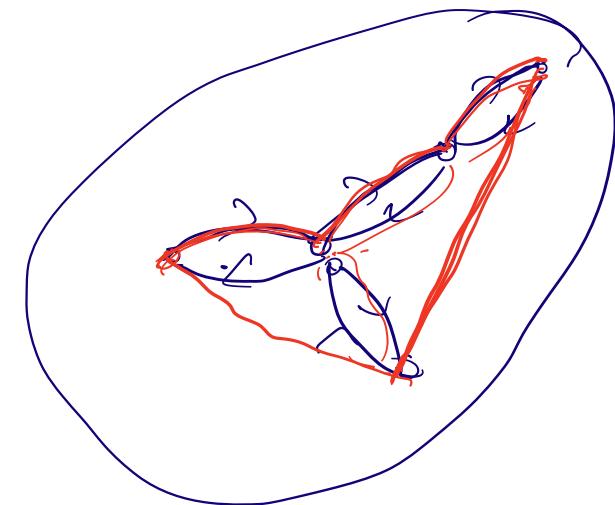
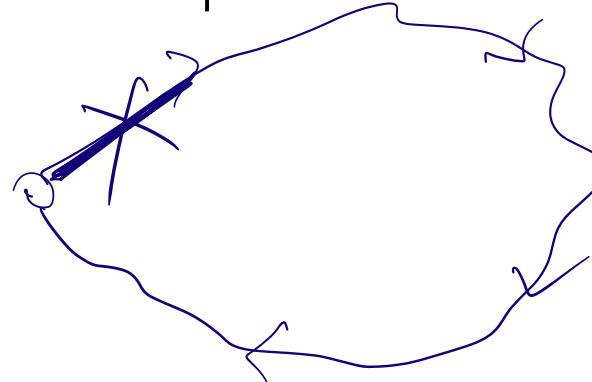




- Cost of new walk =  $2 \cdot$  cost of Min Spanning Tree (MST)



□ Cost of any MST  $\leq$  Cost of optimal TSP = OPT



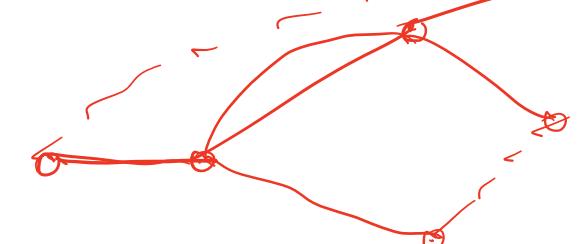
☐ Cost of tour output by greedy  $\leq$  Cost of new walk

$$= 2 \cdot \text{Cost of MST} \leq 2 \cdot \text{OPT}$$

- Improving the approximation factor: A perspective change
- What is the algorithm doing?
  - Compute an MST of the graph
  - Replace each edge in MST with two copies of itself
  - Find a Eulerian tour on this graph
  - Use shortcuts to avoid revisiting vertices
- Can we modify the MST to be Eulerian without doubling its cost?

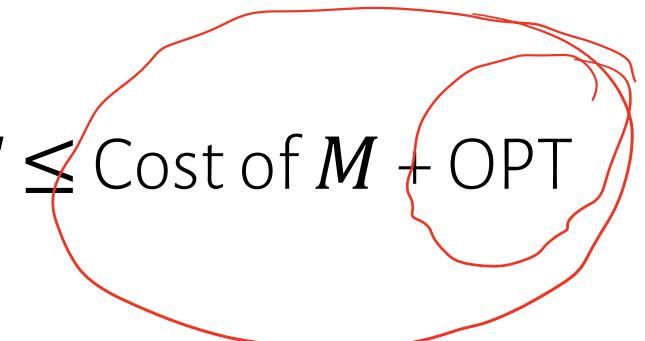
- Main problem: Odd degree vertices in the MST  $T$
- We want all vertices to have even degree to have a Eulerian tour
- But there are an even number of odd degree vertices in any graph
- Idea: Find a perfect matching among odd degree vertices  $O$  and add the edges of the matching to  $T$

- Pick the min cost matching  $M$



- Total cost of a Eulerian tour is  $\overline{\text{Cost of } M + \text{Cost of } T} \leq \text{Cost of } M + \text{OPT}$

$T \cup M$  is Eulerian



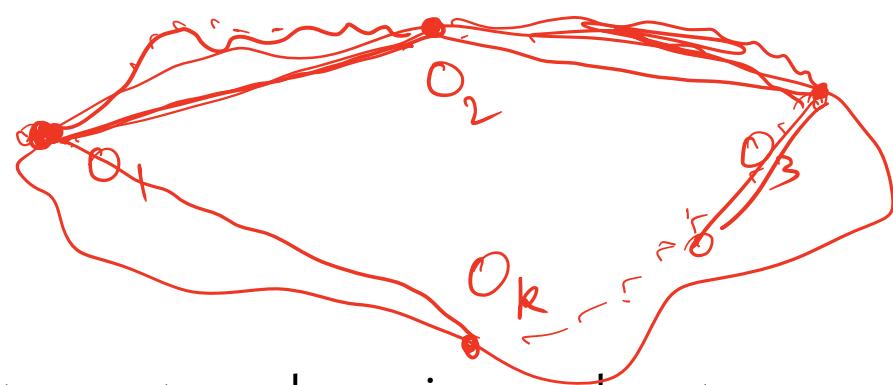
$$\leq \text{OPT} + \frac{\text{OPT}}{2} \geq \frac{3}{2} \text{OPT}$$

- Claim: Cost of  $M \leq \text{OPT}/2$

*optimal tour*

- Consider a TSP restricted to the odd degree vertices  $O$

- The cost of such a TSP is at most  $\text{OPT}$



*TSP optimal tour over all vertices*

- If we pick alternate edges in such a tour, we get a perfect matching of  $O$
- One of the two matchings has cost at most  $\text{OPT}/2$

$\Rightarrow \exists$  a perfect matching on  $O$   
with cost  $\leq \text{OPT}/2$

- What about general TSP?

↳ no  $\Delta^k$  inequality

- Even a  $O(2^n)$ -factor approximation algorithm will imply  $P = NP$

- Proof?

Reading Exercise!